

# Study on structural elementary excitations at semiconductor surfaces and interfaces

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Our project has been focused on physical properties of structural elementary excitations of semiconductor surfaces and interfaces. We have performed one topic in this year. It is the physical properties of oxygen vacancies ( $V_O$ ) in  $SiO_2$  at interface with Si. [1] The calculations were performed based on the first-principles calculation. Program package PHASE/0 was employed.

Because of recent progress of integrated circuits, three-dimensional metal-oxide-semiconductor field-effect transistors (MOSFETs) have been attracting attention. The vertical body-channel-MOSFET has a gate insulating thermally oxide Si film around a Si pillar with a diameter of several tens of nm, but it is considered that a large stress distributes in the oxide film. When a Si nanowire with a width of 50 nm and a thickness of 30 nm is processed by dry oxidation at 1000°C for 80 min, it is known that a compressive strain of about 3% is accumulated near the interface and a tensile strain of about 3% is accumulated near the outer surface.

The strain induced in the oxide film must have an unconventional effect on the film reliability such as dielectric breakdown. It is known that the dielectric breakdown of the oxide film is triggered by  $V_O$ -related defects in the film. The  $V_O$ -related defects trap holes, and transform into 3-coordinated Si's with +1 charge. These 3-coordinated Si's diffuse and aggregate under a gate-channel electric field of MOSFET operation to create a one-dimensional conduc-

tive chain from the Si interface to the gate interface in the oxide film. Then, a gate leak current flows through this chain, and leads to the dielectric breakdown. Since the oxide film of the three-dimensional MOSFETs is subjected by the strain, it is considered that these processes are also affected by the strain.

We focused on the fundamental properties of  $V_O$  in the oxide film, and investigated the effect of strain on them using the first-principles calculation. We found that the stability of  $V_O$  increases under compression. We also found that the height of the diffusion barrier increases under compression. In addition, we found that the diffusion barrier height is determined by the Si-Si bond length of the  $V_O$ . Considering the gate oxide film in the vertical body-channel-MOSFET, the  $V_O$  density increases because the interfacial oxide film is subjected to compressive strain. This suggests that interfacial traps are more likely to occur and performance may be slightly degraded. On the other hand, tensile strain is applied to the surface oxide film to reduce the density of  $V_O$ , but diffusion of  $V_O$  is promoted. This means that the reliability of the oxide film is affected by the stain because  $V_O$  can diffuse from the interface of the oxide film to the surface.

## References

- [1] K. Yata and H. Kageshima, *Jpn. J. Appl. Phys.* **60** (2020) 035504 (6 pages).